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Cora M. Utley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Christopher L. Chua

Group Art Unit: 2828

Application No.: 10/625,811

Examiner: Tuan N. Nguyen

Filed: July 23, 2003

Confirmation No.: 7775

For: PHASE ARRAY OXIDE-CONFINED VCSELS

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents, or published or IFW (Image File Wrapper) available U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (excluding an RCE), **OR** (b) before the mailing date of a first Office Action on the merits (including an RCE). *No certification or fee is required.*
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
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Application No. 10/625,811

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- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited or submitted to the Office in related parent Application No. _____, filed _____, which is relied upon under 35 U.S.C. §120. Thus, copies of those references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).



Kent M. Chen

Signature under 37 CFR 1.33 & 34

Registration No. 39,630

Telephone No. 714-565-1158

Date March 21, 2006

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/625,811
				Filing Date	7/23/2003
				First Named Inventor	Christopher L. Chua
				Art Unit	2828
				Examiner Name	Tuan N. Nguyen
				Attorney Docket Number	A3316-US-NP
Sheet	1	of	1		

[illegible]

Non Patent Literature Documents			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	?
		D.L. HUFFAKER, ET AL.: Appl. Phys. Lett, Vol 65, no 1 pp 97-99, 1994	<input type="checkbox"/>
		G.R. HADLEY: Optic Letters, Vol 20, no 13, pp 1483-1485, 1995	<input type="checkbox"/>
		S. MUKAI, ETAL: Appl Phys. Lett, Vol 45, no8, pp834-835, 1984	<input type="checkbox"/>
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¹ Applicant's unique citation description number (optional). ² Applicant is to place a check mark here if English Translation is attached.

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